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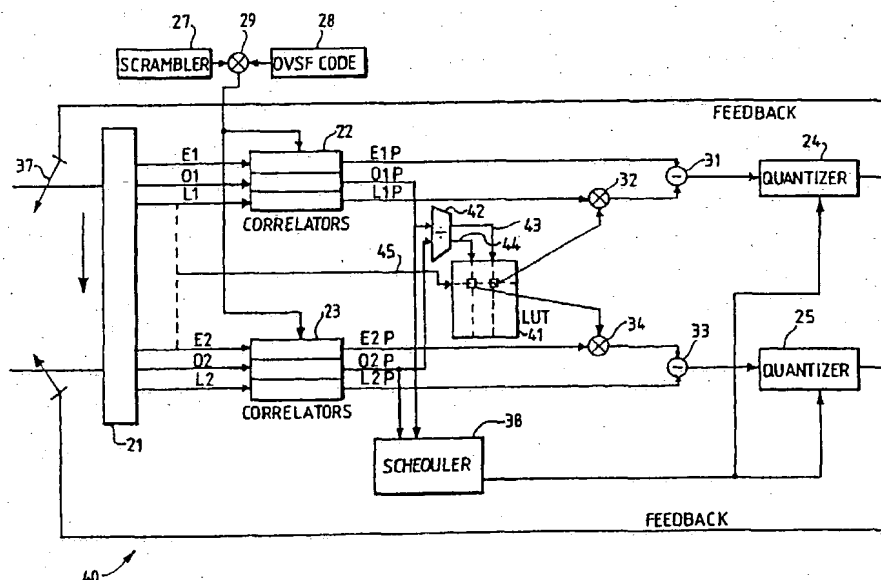
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(54) Title: A RAKE RECEIVER AND A METHOD OF OPERATING A RAKE RECEIVER



(57) Abstract: A rake receiver (40) includes, in a finger, a subtractor (31) which is arranged to detect the difference in power between early and late samples E1P, L1P of a signal being received at a particular position in the code space. A subtractor (33) operates in the same way on an adjacent finger. The receiver includes multipliers (32, 34) which operate to reduce the amplitude of signals provided to the subtractors (31, 33) if it is detected that the fingers are close together in the code space. The fingers are thereby opposed from moving together and the signals from the fingers thereby continue to be processed separately. Data for controlling the multiplication factor of the multipliers (32, 34) is stored in a look-up table (41).

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## A Rake Receiver and a Method of Operating a Rake Receiver

This invention relates to a rake receiver, and to a method of operating a rake receiver.

5 It is common in wideband code division multiple access (W-CDMA) radio receivers to use a rake receiver to process a received signal. A rake receiver comprises a number of correlators, typically four correlators, which are arranged in parallel with their outputs being applied to an adder. The output of the adder is the output signal for the rake receiver. Each correlator can be called a 'finger', and each finger is independently  
10 controllable. Since it is necessary to generate a pseudo-random noise (PN) code at the same frequency and phase as the code which is modulated onto the received signal to achieve correlation with a line-of-sight (LOS) signal, it is possible to isolate delayed multipath signals by mixing a delayed version of the code with the received signal. The code delay must be equal to the time delay between the LOS signal and the multipath  
15 signal for correlation to occur. In practice, due to receiver limitations and the effects of noise, a characteristic such as that shown in Figure 1 may be obtained.

In Figure 1, amplitude is plotted against code delay for a signal which is received over a short period in time. The LOS signal 10 is clearly visible as the strongest, since it has  
20 the largest amplitude. Multipath signals 11, 12, 13 are also visible at various places along the code delay axis (or code space), each having an amplitude independent to the others. Although not visible from this Figure, each component of the signal has its own carrier phase. Each finger of the rake receiver is controlled to follow a component  
10-13 of the received signal. Usually, one finger follows the LOS signal 10, and the  
25 other fingers each follow a multipath signal 11-13. Often, however, the LOS signal 10 is not sufficiently strong, in which case each finger follows a different multipath signal. A finger includes a mixer and a delay element which operate in such a way that a correlated signal is provided. The carrier phase of the correlated signal is brought to an arbitrary value, which is the same value for each finger, and the amplitude of each  
30 signal is adjusted according to an algorithm. The signals from all the fingers are then added by the adder, thereby obtaining efficient signal reception from the received

signal. The rake receiver, in effect, 'rakes' the code space for relevant signals, brings them into line with each other, in time and carrier phase, and then sums them. A rake receiver provides a significant increase in signal-to-noise ratio (SNR) compared to a receiver which operates only on the LOS signal or one of the multipath signals.

5

As the receiver moves relative to a transmitter, such as a cellular base station, the characteristic shown in Figure 1 changes in a number of ways. Most significantly, destructive superposition causes the power of the signals to rise and fall by very significant amounts, with the rate and frequency of the power changes being dependent particularly on the dynamics of the propagation channel. The multipath signals 11-13 also move along the code space, one way or the other, as the difference in the lengths of the signal paths change relative to the LOS path. The carrier phase of the signals also changes over time, albeit more slowly.

15 To detect the components of the received signal, and to track them with time, it is known to use a delay locked loop (DLL) with each finger. Since the signals 10-13 tend to have a usable width of about one chip (a chip being the shortest possible distance between transitions of the modulating PN code), each finger may sample the code space at three code positions regularly spaced over a distance of one-half of a chip. Here, the sample at the earliest phase of the code is called the early sample, the on-time and the late samples being of increasingly greater code phase. The degree of misalignment of a given finger with the signal being tracked, and the direction of misalignment, is detected by comparing the power of signals from the early sample with the power of those from the late sample. The difference in power and the sign of the difference are provided as a feedback signal to control the movement of the finger along the code space. This is known as a non-coherent DLL since the carrier phases of the signals are not taken into account by the DLL.

After signal acquisition, the position of each finger is updated by its respective DLL. Further resources are allocated to searching the code space for new signals, to which finger allocation may be desirable.

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Each finger also has an amplitude detector and a carrier phase detector associated with it. Signals from these detectors are used to modify the signals provided by the fingers before they are provided to the adder.

- 5 A problem has been found to arise when two adjacent signals, either the LOS signal 10 and a multipath signal 11-13, or two multipath signals, move closely together, such as may occur with signals reflected from obstacles on either side of the LOS. When the signals reach a distance of about one chip apart, they tend to add and appear as a single signal having, initially at least, a wider pulse shape, in which case a single finger may  
10 be allocated to it. Of course, the two signals may have different carrier phases and different powers, and, in most cases, different positions in the code space. Treating the two signals as one, as a conventional receiver does, compromises the signal reconstruction efficiency of the receiver.
- 15 In accordance with a first aspect of this invention, there is provided a rake receiver comprising a plurality of fingers, each finger including means for multiplying a received signal with a locally generated code, the phase difference between the received signal and the code being individually controllable for each finger so that each finger can be steered to a position in the code space of the received signal to receive a  
20 respective ray forming part of the received signal, the receiver including means to steer adjacent fingers dependent at least in part on the distance between the adjacent fingers.

Preferably, the steering means oppose relative movement of a finger towards an adjacent finger once a predetermined separation is reached. The receiver may comprise  
25 a scheduler arranged to update the positions of the fingers in a sequence which is dependent on the power of the signal received by the respective fingers, in which case the scheduler may update the positions of fingers having a higher power signal prior to updating the positions of fingers with lower power signals. Preferably, the scheduler updates the positions of fingers in a descending order according to their signal power.

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Advantageously, the receiver includes a detector arranged to detect the difference in power between an early signal and a late signal associated with a finger, the detector

being arranged to provide a feedback signal to control the position of the finger on the basis of the detected power difference, the output of the detector being dependent on the distance between adjacent fingers. Here, it is preferred to include a weighting element arranged to weight the early power signal of a first finger and/or the late power signal of an adjacent, earlier code phase finger prior to the detector dependent on the distance between the fingers. It is further preferred to include a weighting signal generator arranged to provide a weighting signal, in response to a signal indicative of the distance between the fingers, to control the weighting element. Here, the weighting signal generator may provide the weighting signal dependent on the measured power of the fingers and on the distance between the fingers. The weighting signal generator may comprise a look-up table. Such a receiver may include means for independently controlling the weighting signals applied to weight the early and the late power signals of respectively the first and the adjacent finger.

15 In accordance with other aspects of the invention, there is provided a radio receiver including a rake receiver according to the invention, and a radio telephone including such a radio receiver.

In accordance with a further aspect of this invention, there is provided a method of operating a rake receiver having a plurality of fingers, the method comprising, in each finger, multiplying a received signal with a locally generated code, the phase difference between the received signal and the code being individually controllable for each finger so that each finger can be steered to a position in the code space of the received signal to receive a respective ray forming part of the received signal, in which the fingers are steered dependent at least in part on the distance between adjacent fingers.

Embodiments of the present invention will now be described, by way of example only, with reference to the accompanying drawings, of which:

30 Figure 1 shows a correlation plot of a typical received signal;

Figures 2, 4 and 6 schematically show parts of rake receivers according to this invention; and

Figures 3 and 5 show weighting values which may be stored in the look-up-tables of  
5 Figures 2 and 4 respectively.

Referring to Figure 2, a receiver 20 comprises generally a signal delay element 21, first and second banks of correlators 22, 23 and first and second quantisers 24, 25. The correlators 22 and the quantiser 24 form part of a first finger, and the correlators 23 and the quantiser 25 form part of a second finger. The signal delay element is schematic. It  
10 can be thought of as a tapped delay line from which outputs show a signal received at successive delays equal to one-eighth of a chip period of the channel specific OVSF code. The channel may be a pilot channel, a control channel or a use specific data/voice channel. The distance in code space between adjacent outputs is the reciprocal of the  
15 oversampling factor (OSF) of the receiver. The characteristics of the OVSF code are well documented in the literature. The OVSF code is modulated onto the received signal at the transmitter (not shown). The further along the delay element 21, in the direction of the arrow 26, the output is, the greater the amount that the received signal is delayed. Hence, the first finger processes signals which have less delay compared to  
20 the LOS signal than those processed by the second finger. The first finger may, of course, process the LOS signal.

Each finger receives signals from three of the outputs of the delay element 21. The first finger has an early signal E1, an on-time signal O1 and a late signal L1, received from  
25 successive outputs of the delay element 21. Since the outputs of the delay element 21 are spaced one-eighth of a chip apart, the E1 and the L1 signals are separated by one-quarter of a chip. The second finger similarly has early, on-time and late signals E2, O2 and L2. A scrambling code generator 27 and an OVSF code generator 28 provide codes which are mixed together in a mixer 29, the resultant composite signal  
30 being provided on an output 30 to each of the banks of correlators 22, 23. The scrambling code generator 27 provides a scrambling code which is unique to the transmitter (not shown), which is, for example, a cellular telephone base station, and the

OVSF code generator 28 provides the OVSF code which is unique to the channel. The receiver 20 may be, for example, part of a cellular telephone radio receiver. The scrambling code generator 27 and the OVSF code generator 28 are controlled in phase and in frequency in a conventional manner. The correlators 22 mix the composite  
5 signal provided on the mixer output 30 with each of the E1, O1 and L1 signals in parallel, to generate power signals E1P, O1P and L1P respectively. The correlators 23 operate similarly to provide power signals E2P, O2P and L2P.

The signals E1P, O1P, L1P, E2P, O2P and L2P are signals found around the crests of  
10 two adjacent rays forming the received signal, such as the rays 11 and 12 of Figure 1. The signals E1P and L1P are provided to different inputs of a subtractor 31, the L1P signal being provided via a multiplier 32. The signals L2P and E2P are similarly provided to a second subtractor 33, the E2P signal being provided via a second  
15 multiplier 34. The multipliers 32 and 34 each multiply the signal provided on its respective power signal input by a weighting signal provided on an output 35 of a look-up table (LUT) 36. A detector (not shown) detects the distance in code space between the output of the delay element 21 from which the O1 signal is taken and the output from which the O2 signal is taken. The distance so detected is provided as an input signal to the LUT 36. The LUT 36 contains two columns, the first column  
20 containing data relating to the possible distances between the first and second fingers, to a resolution of one-eighth of a chip, and the second column containing corresponding weighting data. A particularly advantageous data set is shown in Figure 3.

As shown in Figure 3, the weighting data value increases from zero for a 0.25 chip  
25 separation to unity for a 1.75 chip separation. A greater rate of increase of weighting value with chip separation occurs upwards of one chip separation than occurs downwards of one chip separation. In this Figure, there is a small decrease from 1.75 chips to 2 chips. The optimum weighting data is dependent particularly on the shape of the pulse shaping filter constituted by the DLL, and a particular shape may require a  
30 slight decrease in weighting value with increased separation.

During normal operation, i.e. when the distance between the first and second fingers in the code space is sufficiently great that the signals tracked by the fingers do not add constructively, operation is as follows. The LUT 36 receives a signal from the detector (not shown) indicating that the distance between the fingers is greater than two chips. Accordingly, the output 35 of the LUT 36 is provided with a unity weighting signal (the weighting signal is the same as the weighting data value stored in the LUT). Accordingly, the subtractor 31 receives the L1P and the E1P signals from the bank of correlators 22, the L1P signal being unweighted by the multiplier 32. The subtractor 31 provides a signal to the quantiser 24 which is indicative of the difference in amplitude of the L1P and the E1P signals, and indicative of the sign of the difference. The quantiser 24 receives the difference signal from the subtractor, and provides an increment output signal if it determines that the finger is more than one-sixteenth of a chip early compared to the ray, a decrement signal if it determines that the finger is more than one-sixteenth of a chip early compared to the corresponding ray, and no signal otherwise. The signal so provided is fed as a feedback signal to a control device (indicated schematically at 37) which in effect moves the finger along the delay element 21 so as to align the finger more closely with the ray. The quantiser 24 uses conventional inference logic. The operation of the second finger is identical.

When the detector (not shown) provides a signal to the LUT 36 indicating that the distance between the fingers has fallen below 1.75 chips, the LUT provides to the multipliers 32 and 34 a weighting signal having a value less than unity. In the first finger, this causes the L1P signal to be weighted downwardly, and the result is received by the subtractor 31. The subtractor 31, therefore, receives power signals which are not truly indicative of the difference in power of the L1P and E1P signals, and the signal provided to the quantiser 24 is, therefore, artificially distorted. By reducing the L1P power signal, the quantiser 24 sees a signal which indicates that the finger does not need incrementing along the delay element 21 to the extent that would have been found had the multiplier 32 not been present. Moreover, the Figure 3 plot indicates that this effect is increased as the distance between the fingers decreases. Therefore, as the distance between the fingers closes beyond 1.75 chips separation, the receiver 20 artificially slows down the relative movement of the fingers towards each other.



Depending on the exact form of the received rays, the fingers usually then stop moving together, even if the rays continue converging, and subsequently are pushed apart.

Although this may prevent the fingers accurately tracking the rays over the code space,  
5 it is considered that this offers an improvement to the prior art in which the two rays overlap and are tracked by a single finger, even if the carrier phases of the two rays are different.

A scheduler 38 is arranged to receive the on-time power signals O1P and O2P from the  
10 correlators 22 and 23. The scheduler 38 detects which of the power signals O1P and O2P has the greater amplitude, and controls the quantisers 24 and 25 accordingly. In particular, the scheduler 38 controls the quantiser 24, 25 which is associated with the finger having the higher power to update the position of that finger first. Once the finger position has been updated, the amplitudes of the signals O1P and O2P may have  
15 changed, as may have the distance between the fingers. The scheduler 38 subsequently controls the other quantiser 24, 25 to update the position of its finger. This allows increased resistance to fingers becoming overlapping.

Referring now to Figure 4, an alternative receiver 40 is shown, with reference  
20 characters retained from Figure 2 for like elements. The detector (not shown) which detects the distance between the fingers provides an indicative signal to a row select input 45 of a two dimensional LUT 41. The O1P and O2P signals are provided to different inputs of a divider 42, which provides a signal indicative of the amplitude of O1P divided by the amplitude of O2P on an output 43. The reciprocal signal is  
25 provided on an output 44. The outputs 43, 44 of the divider 42 are applied to respective column select inputs of the LUT 41. Suitable weighting data is stored in the LUT 41. The weighting data value stored in the LUT 41 at the position marked by the inputs 45 and 43 is provided as a weighting signal to the multiplier 32. Similarly, the data value at the position marked by the inputs 45 and 44 is provided to the multiplier 34. Suitable  
30 data values for the LUT 41 are shown in Figure 5.

In Figure 5, finger separation versus weighting value is shown for different values of  $O1P$  divided by  $O2P$ , increasing in the direction of arrow 50. The receiver 40 therefore weights the damping of the fingers moving together, which is provided by this invention, according to the power of the rays received by the fingers. In this way, stronger rays suffer less from the effects of weaker rays, whilst the weaker rays are more compromised. This is advantageous since the stronger rays contribute more to signal reconstruction than do the weaker rays.

Referring now to Figure 6, a rake receiver 60 is shown comprising first to fourth fingers having inputs 61 to 64 respectively. The inputs 61 to 64 are taken from a tapped delay line 65. The correlators are not shown for simplicity. Between adjacent fingers are decouplers 66 to 68, which each comprise a respective detector (not shown) arranged to detect the distance between the fingers with which the decoupler is associated, and a respective look-up table (not shown), such as the Figure 2 look-up table. The decoupler 66 provides weighting signals on an output 69 to a multiplier 70 interposed in a late power line 71 of the first finger, and to a multiplier 72 interposed in an early power line 73 of the second finger. Each finger includes a respective subtractor 74 to 77, and operates in substantially the same way as that described above with reference to Figure 2. Figure 6 illustrates how the invention can be applied to receivers having more than two fingers. Of course, more than four fingers may be provided, and it is preferred that six or eight fingers are present in the receiver 60. Having six or eight fingers is a good compromise between hardware complexity and signal reconstruction efficiency.

Instead of the tapped delay line implementation, it is possible to perform the invention using a "small" memory scheme in which finger alignment occurs by varying the phase of the scrambling sequence (including the OVVSF code and the unique transmitter code), and mixing this with the received signal. In this implementation, each finger uses a different code phase, with the finger being moveable along a short tapped delay line of one chip (eight samples) length. When a finger moves off one end of its small tapped delay line, the phase of the code applied to the delay line is changed accordingly.

## Claims

1. A rake receiver comprising a plurality of fingers, each finger including means for multiplying a received signal with a locally generated code, the phase difference  
5 between the received signal and the code being individually controllable for each finger so that each finger can be steered to a position in the code space of the received signal to receive a respective ray forming part of the received signal, the receiver including means to steer adjacent fingers dependent at least in part on the distance between the adjacent fingers.
- 10 2. A receiver as claimed in claim 1, further comprising means to oppose relative movement of a finger towards an adjacent finger once a predetermined separation is reached.
- 15 3. A receiver as claimed in either preceding claim, further comprising a scheduler arranged to update the positions of the fingers in a sequence which is dependent on the power of the signals received by the respective fingers.
- 20 4. A receiver as claimed in claim 3, in which the scheduler is such as to update the positions of fingers having a higher power signal prior to updating the positions of fingers with lower power signals.
- 25 5. A receiver as claimed in claim 4, in which the scheduler is such as to update the positions of fingers in a descending order according to their signal power.
- 30 6. A receiver as claimed in any preceding claim, further comprising a detector arranged to detect the difference in power between an early signal and a late signal associated with a given finger, the detector being arranged to provide a feedback signal to control the position of that finger on the basis of the detected power difference, the output of the detector being dependent on the distance between that finger and an adjacent finger.

7. A receiver as claimed in claim 6, further comprising a weighting element arranged to weight the early power signal of a first finger and/or the late power signal of an adjacent, earlier code phase finger prior to the detector dependent on the distance between the fingers, thereby to influence the feedback signal.

5

8. A receiver as claimed in claim 7, further comprising a weighting signal generator arranged to provide a weighting signal, in response to a signal indicative of the distance between the fingers, to control the weighting element.

10

9. A receiver as claimed in claim 8, in which the weighting signal generator provides the weighting signal dependent on the measured power of the fingers and on the distance between the fingers.

15

10. A receiver as claimed in claim 8 or claim 9, in which the weighting signal generator comprises a look-up table.

11. A receiver as claimed in any of claims 7 to 10, further comprising means for independently controlling the weighting signals applied to weight the early and the late power signals of respectively the first and the adjacent finger.

20

12. A radio receiver including a rake receiver as claimed in any preceding claim.

13. A radio telephone including a radio receiver as claimed in claim 12.

25

14. A method of operating a rake receiver having a plurality of fingers, the method comprising, in each finger, multiplying a received signal with a locally generated code, the phase difference between the received signal and the code being individually controllable for each finger so that each finger can be steered to a position in the code space of the received signal to receive a respective ray forming part of the received signal, in which the fingers are steered dependent at least in part on the distance between adjacent fingers.

30

15. A method as claimed in claim 14, in which relative movement of a finger towards an adjacent finger is opposed once a predetermined separation is reached.

16. A method as claimed in claim 14 or claim 15, in which the positions of the  
5 fingers is updated by a sequencer in a sequence which is dependent on the power of the signals received by the respective fingers.

17. A method as claimed in claim 16, in which the positions of fingers having a higher power signal are updated prior to the positions of fingers with lower power  
10 signals being updated.

18. A receiver as claimed in claim 17, in which the positions of fingers are updated in a descending order according to their signal power.

19. A method as claimed in any of claims 14 to 18, further comprising detecting the difference in power between an early signal and a late signal associated with a given finger, and providing a feedback signal to control the position of that finger on the basis of the detected power difference, the feedback signal being dependent on the distance between that finger and an adjacent finger.

20. A method as claimed in claim 19, further comprising weighting the early power signal of a first finger and/or the late power signal of an adjacent, earlier code phase finger prior to providing the feedback signal, the weighting being dependent on the distance between the fingers, thereby to influence the feedback signal.

21. A method as claimed in claim 20, further comprising providing a weighting signal, in response to a signal indicative of the distance between the fingers, to control the weighting step.

22. A method as claimed in claim 21, in which the weighting signal is provided dependent on the measured power of the fingers and on the distance between the fingers.

23. A method as claimed in any of claims 20 to 22, further comprising independently controlling the weighting signals applied to weight the early and the late power signals of respectively the first and the adjacent finger.

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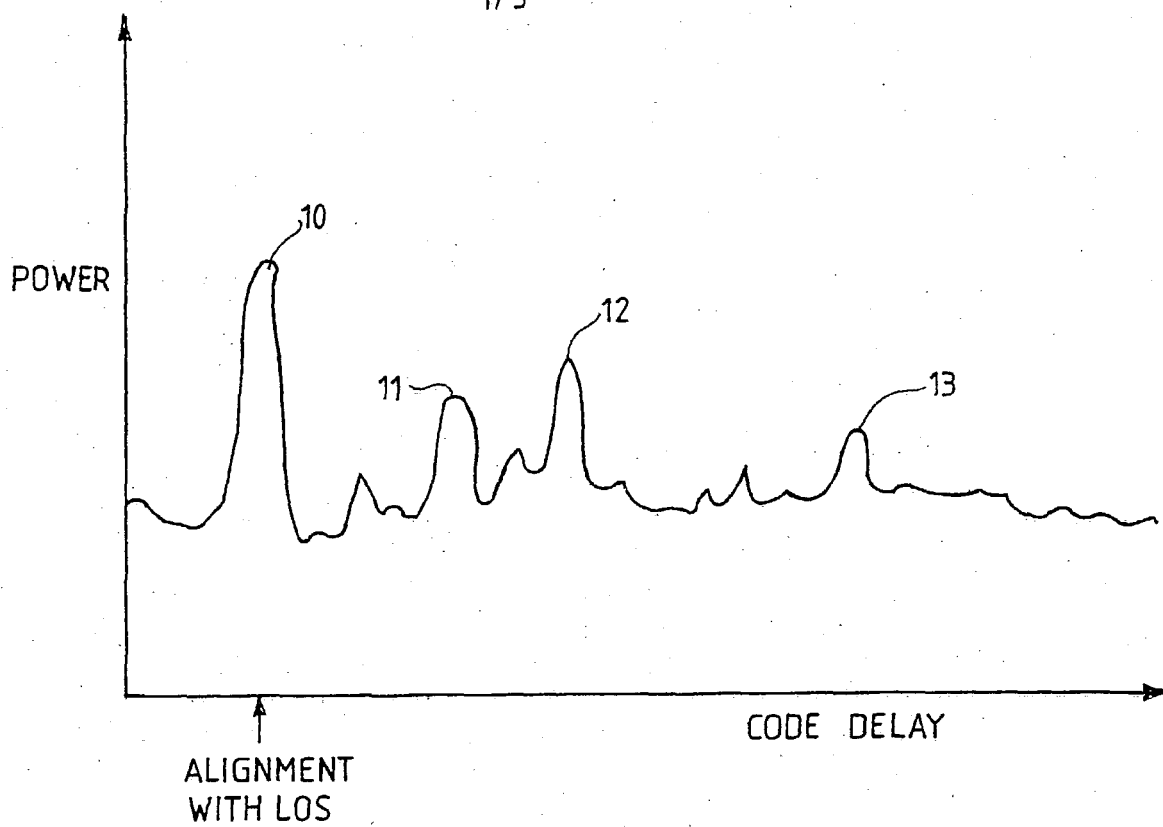


Fig.1.

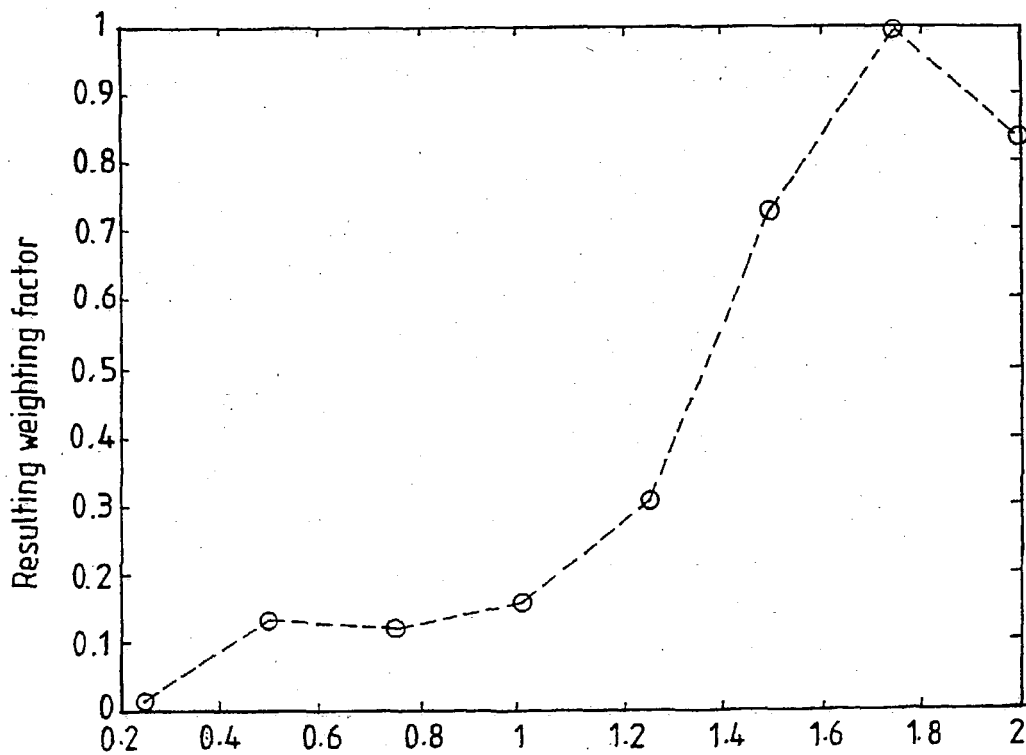


Fig.3.

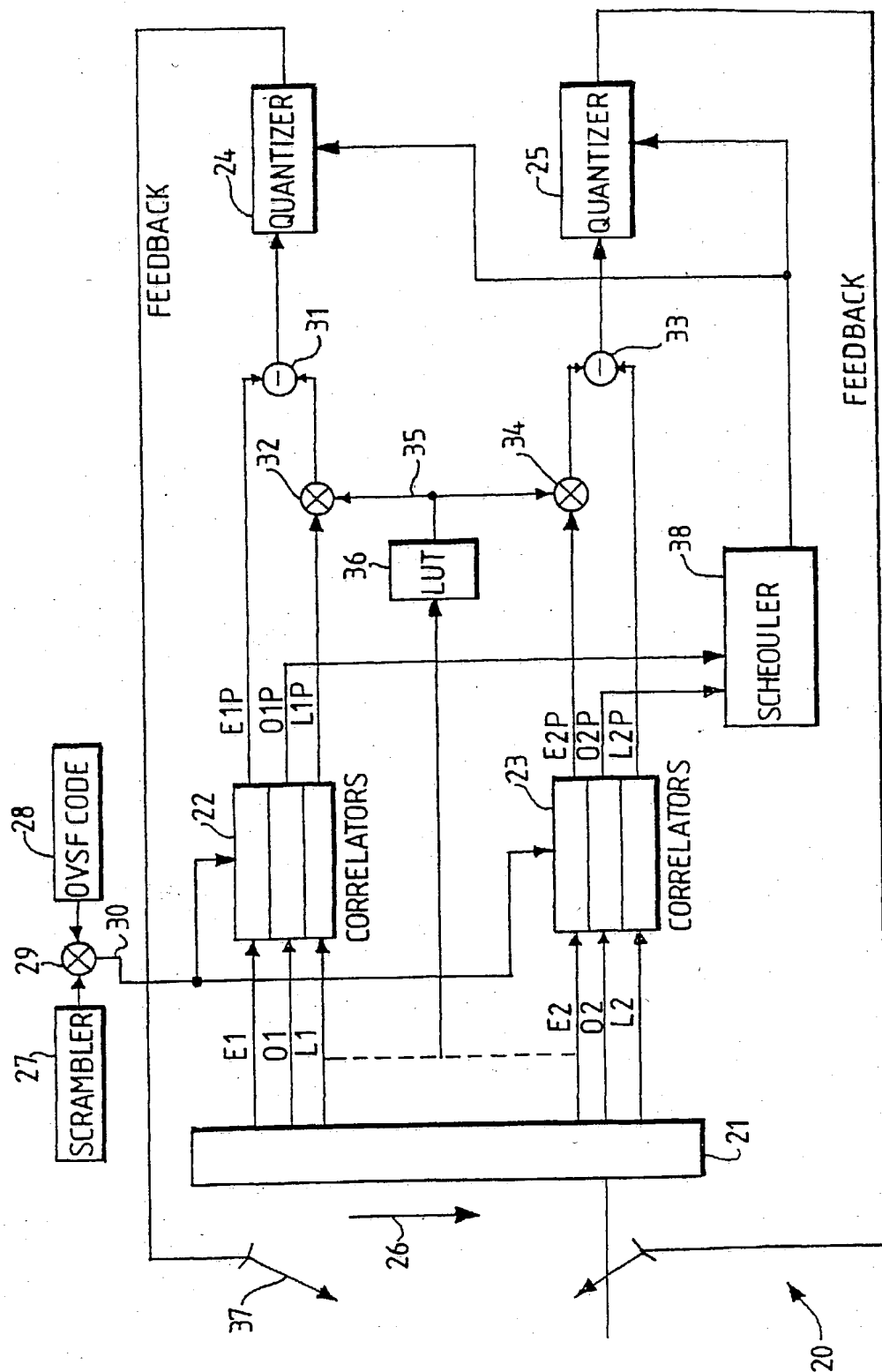


Fig.2.



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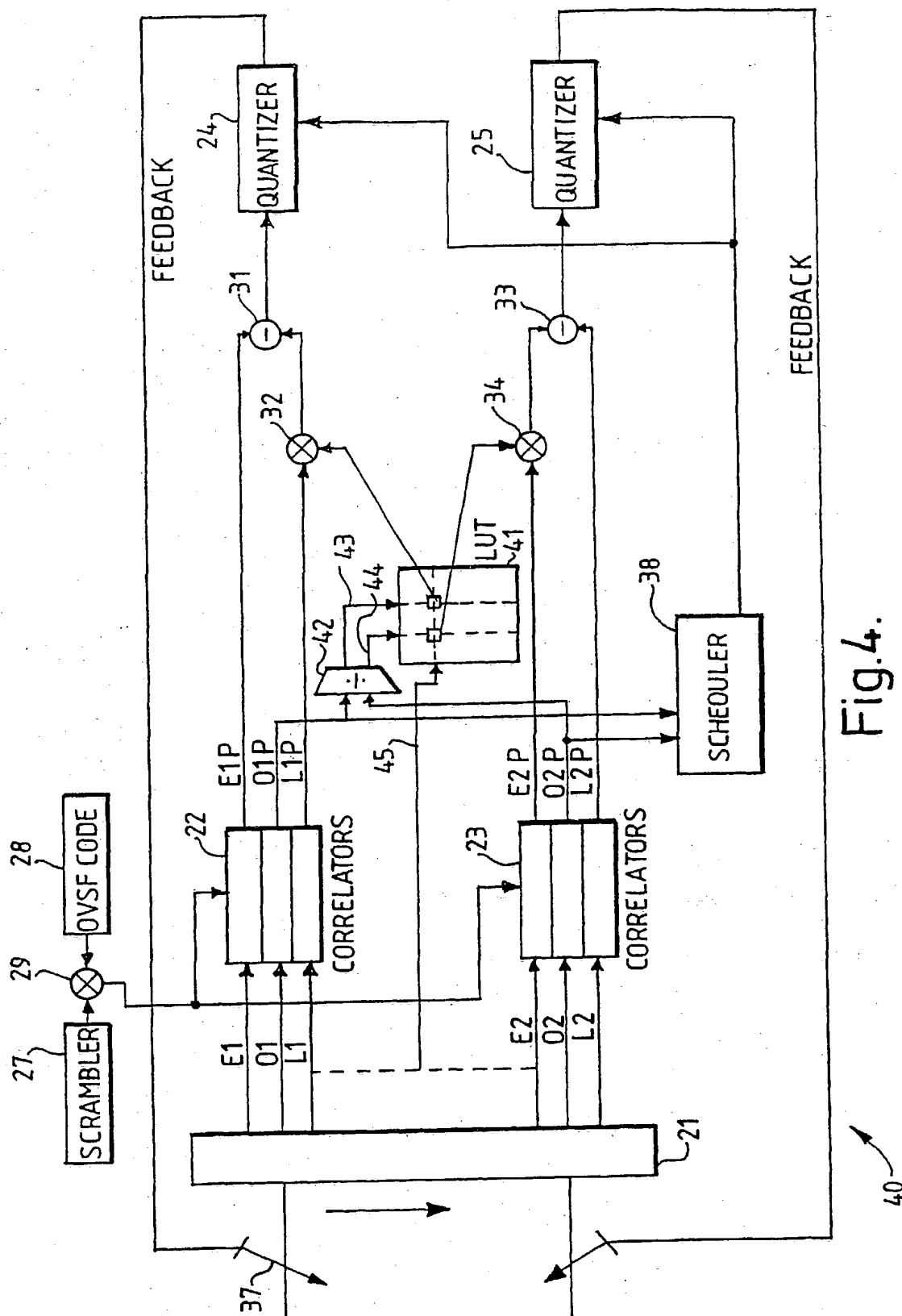


Fig.4.

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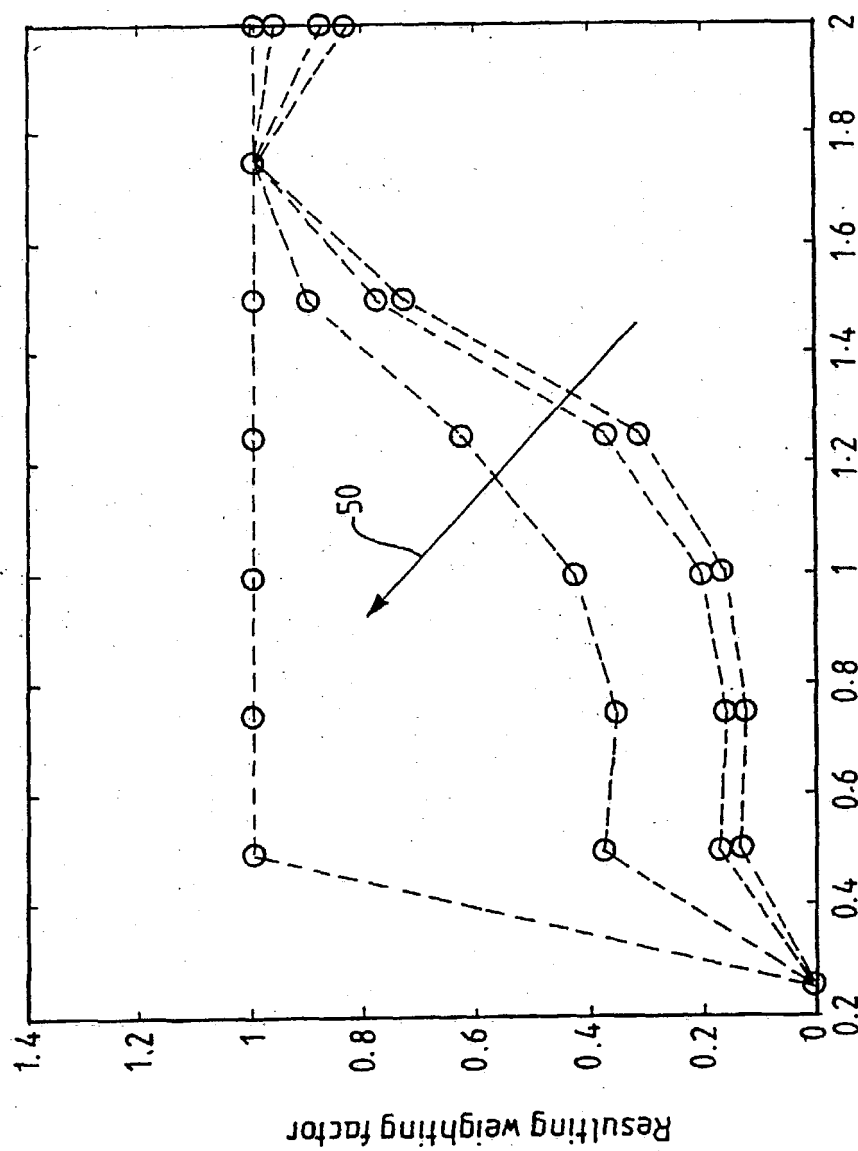


Fig.5.

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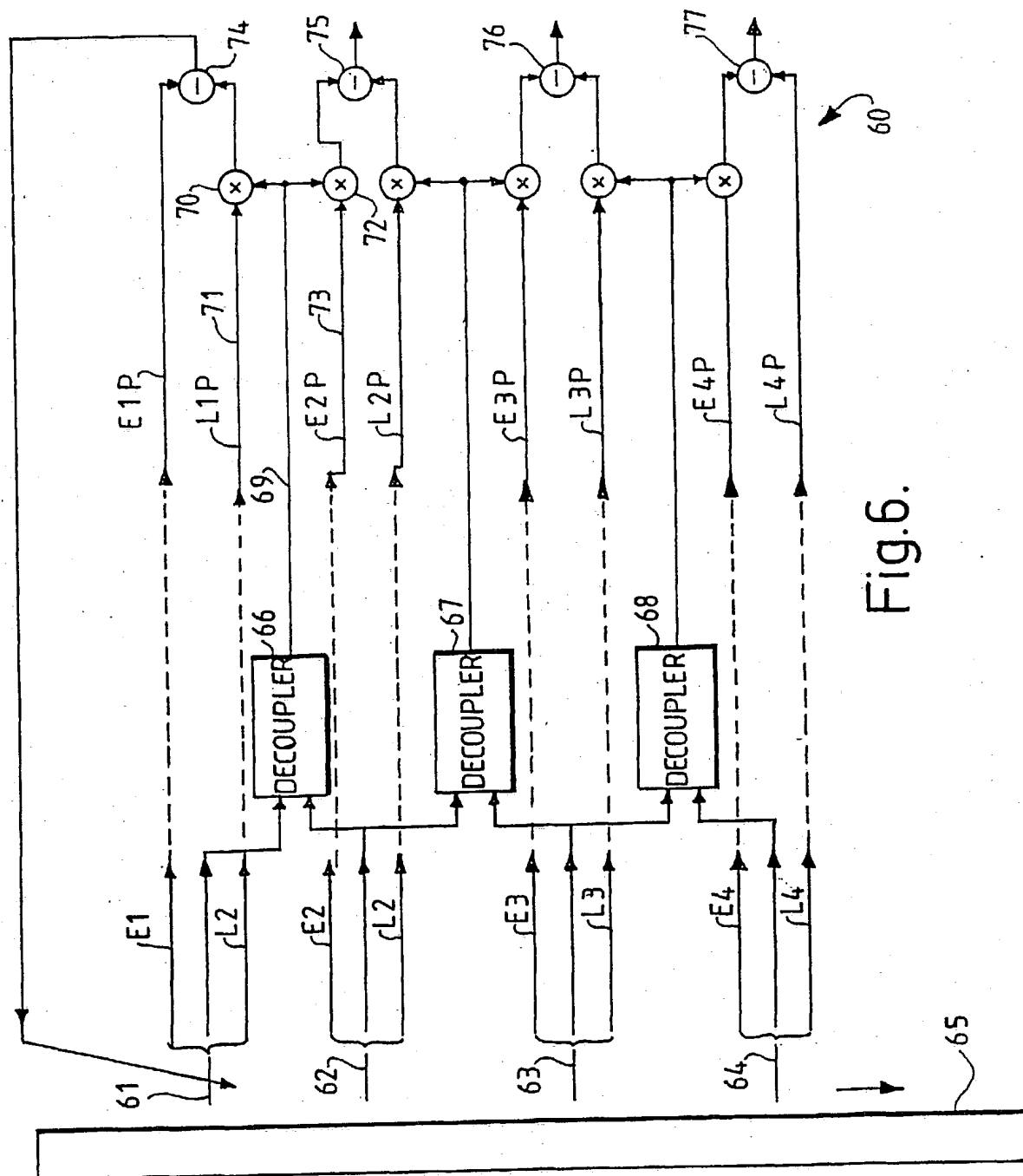


Fig.6.

## INTERNATIONAL SEARCH REPORT

International Application No.  
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A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 H04B1/707

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 H04B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, INSPEC

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 896 438 A (LUCENT TECHNOLOGIES INC) 10 February 1999 (1999-02-10) column 3, line 13 - line 35 column 4, line 35 - line 49 column 6, line 41 - column 8, line 1 figures 4,5	1-23
X	EP 0 984 561 A (NIPPON ELECTRIC CO) 8 March 2000 (2000-03-08) column 8, line 34 - column 9, line 36 abstract; figure 2	1-23
X	US 5 490 165 A (WEAVER JR LINDSAY A ET AL) 6 February 1996 (1996-02-06) column 5, line 55 - column 6, line 37 column 12, line 65 - column 13, line 16 column 19, line 58 - column 20, line 23 column 21, line 28 - line 57	1-23

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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# INTERNATIONAL SEARCH REPORT

Information on patent family members

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